

<b>Guide for Review of Round II EZ Strategic Plan Compliance</b>			
<b>Name of Program Participant:</b>			
<b>Staff Consulted:</b>			
<b>Name(s) of Reviewer(s)</b>		<b>Date</b>	

**NOTE:** All questions that address requirements contain the citation for the source of the requirement (statute, regulation, NOFA, or grant agreement). If the requirement is not met, HUD must make a finding of noncompliance. All other questions (questions that do not contain the citation for the requirement) do not address requirements, but are included to assist the reviewer in understanding the participant's program more fully and/or to identify issues that, if not properly addressed, could result in deficient performance. Negative conclusions to these questions may result in a "concern" being raised, but not a "**finding.**"

**Instructions:** This Exhibit is designed to follow up on the annual PERMS assessment of progress of, and compliance with, the EZ's Strategic Plan. It serves to implement CPD's statutory responsibilities for making periodic progress reviews of the EZ's performance and determining whether the EZ designation should be continued or revoked. The EZ's Implementation Plans (IPs) describe the basis for the administration of the Strategic Plan and the governing board. This Exhibit is divided into five sections: Executive Summary; Governance Report; Developable Sites; Anti-Pirating; and Letter of Warning.

**Questions:**

**A. EXECUTIVE SUMMARY**

1.

Were any issues on the Executive Summary identified in the most recent Headquarters and/or Field Office PERMS Assessments?	<input type="checkbox"/> <input type="checkbox"/> <b>Yes      No</b>
<b>Describe Basis for Conclusion:</b>          	

Exhibit 14-1  
Round II Empowerment Zones (EZs)

2.

If the answer to “1” above was yes, has the EZ taken the recommended steps to cure identified compliance issues prior to this monitoring review? (If unresolved issues remain, follow-up on them during the monitoring to determine if additional action is needed.)	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<b>Describe Basis for Conclusion:</b>          	

**B. GOVERNANCE REPORT**

3.

Were any Governance Report issues identified in Questions 1-5 for the most recent Field Office assessment and/or the HUD Headquarters’ assessment?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Describe Basis for Conclusion:</b>          	

4.

If the answer to “3” above was “yes,” have all identified issues been resolved (e.g., recommended actions taken) prior to this monitoring review? (If unresolved issues remain, follow-up on them during the monitoring to determine if additional action is needed.)	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
<b>Describe Basis for Conclusion:</b>          	

Exhibit 14-1  
Round II Empowerment Zones (EZs)

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5.

Is there information to indicate frequent EZ Governance Board changes?	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No
<b>Describe Basis for Conclusion:</b>		

6.

Compare the current board structure to the one described in the Strategic Plan. Does this monitoring review indicate any changes in the governance structure	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No
<b>Describe Basis for Conclusion:</b>		

7.

a. For the period reviewed, is there evidence that the Board met (e.g., are there minutes, sign-in sheets, public announcements regarding Board meetings)?	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No
<b>Describe Basis for Conclusion:</b>		

b. If the answer to “a” above is “yes,” how many times did the Board meet during the last annual reporting period?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No	N/A
<b>Describe Basis for Conclusion:</b>			

8.

Does the information on the Board meetings in question 7 above match the information in PERMS?	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No
<b>Describe Basis for Conclusion:</b>		

C. DEVELOPABLE SITES [24 CFR 598.115(c)]

9.

If this EZ has developable sites, is there any evidence that it is marketing more than three noncontiguous parcels? [24 CFR 598.115(c)(4)]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No	N/A
<b>Describe Basis for Conclusion:</b>			

10.

If the EZ has developable sites, is the total area of the noncontiguous parcels less than or equal to 2,000 acres? [24 CFR 598.115(c)(2)]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No	N/A
<b>Describe Basis for Conclusion:</b>			

11.

Are the developable sites being primarily developed for commercial or industrial purposes? [24 CFR 598.3]	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A
<b>Describe Basis for Conclusion:</b>			

D. ANTI-PIRATING

12.

Is there any evidence to indicate that the EZ is complying with the prohibition against assisting a business to relocate to the EZ? [24 CFR 598.215(c)]	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> N/A
<b>Describe Basis for Conclusion:</b>			

E. LETTER OF WARNING

13.

a. Has this EZ received a letter of warning pursuant to 24 CFR 598.430(b) that required it to develop a comprehensive strategy for improvement?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<b>Describe Basis for Conclusion:</b>		

b. If the answer to “a” above is “yes,” is the EZ taking the steps required to cure the applicable basis for the revocation found at 24 CFR 598.430(a)?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Yes	No	N/A
<b>Describe Basis for Conclusion:</b>			
<div style="border: 1px solid black; height: 850px;"></div>			